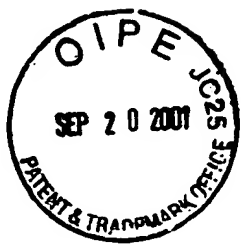


09-24-01

Sector 1/8  
#3



**BOX PATENT APPLICATION**

Agent Docket No.: TruVideo 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of )  
 )  
Philippe SCHMID and Avidesh ZAKHOR )  
 )  
Application No: 09/ 909,140 )  
 )  
Filed : July 17, 2001 )  
 )  
Title: **Dictionary generation method for** )  
 **video and image compression** )  
 )  
----- )

TRANSMITTAL LETTER

Palo Alto, California 94306  
September 20, 2001

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) by Express Mail is the Response to Missing Parts for the patent application of Philippe Schmid and Avidesh Zakhor, assigned an application number 09 / 909,140 and entitled **DICTIONARY GENERATION METHOD FOR VIDEO AND IMAGE COMPRESSION**.

- X   1. A newly executed Combined Declaration and Power of Attorney is attached.
- X   2. An Assignment of the invention to TruVideo Corporation is enclosed, together with a Recordation Cover Sheet in accordance with 37 C.F.R. § 3.31 and our check including the amount of \$ 40.00 to cover the recordation fee. Please record this assignment in accordance with 37 C.F.R. § 3.11.
- X   3. Small entity status is asserted in this matter.
- X   4. A Preliminary Amendment is enclosed.

# COMPUTATION OF FEE

	Number Filed		Number Extra		Rate		
Basic Fee (Small Entity)					\$355		\$ 355
Filing Fee Surcharge (37 CFR 1.16(e))					\$ 65		\$ 65
Total Claims	43 - 20	=	23	x	\$ 9	=	\$ 207
Independent Claims	4 - 3	=	1	x	\$ 40	=	\$ 40
Multiple Dependent Claim				+	\$ 135		\$ 135
Assignment Recordation				+	\$ 40		\$ 40
TOTAL							\$ 842

- X 5. Our check No. 6085 in the amount of \$ 842.00 to cover the total fee as computed above is enclosed.  
NOTE: The fee has been calculated based on the claims **as amended** in the preliminary amendment filed with this paper.

Please address all further correspondence to

Franklin Schellenberg  
4023 Villa Vista  
Palo Alto, CA 94306

Respectfully submitted,



Franklin Schellenberg  
Registration No. 47,315  
Day: 408-487-7082  
Eve: 650-856-3975

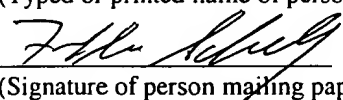
## EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number ET 007 165 242 US

Date of Deposit September 20, 2001

I hereby certify that this paper or fee is being deposited within the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington DC 20231

Franklin Schellenberg  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)



#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,140	07/18/2001	Philippe Schmid-Saugeon	

CONFIRMATION NO. 6872

## FORMALITIES LETTER



\*OC000000006503029\*

Franklin Schellenberg  
4023 Villa Vista  
Palo Alto, CA 94306

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$844.
  - \$414 for 23 total claims over 20.
  - \$160 for 2 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1684.

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*A copy of this notice MUST be returned with the reply.*

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2001 MBELETE1 00000110 09909140

01 FC:201	355.00 OP
02 FC:202	40.00 OP
03 FC:203	207.00 OP
04 FC:204	135.00 OP

05 FC:205

65.00 OP